

# Rollover Certification

(877) 806-7362 | team@altscustodian.com



Accountholder Information	
Account Holder Name	Account Number Assigned by Alts Custodian
Last four digits of SSN (####)	Date Of Birth (Optional) (MM/DD/YYYY)

Indicate the type of plan you are rolling over from (check one of the two options below):

- Eligible Employer-Sponsored Plan (such as 401 (k), SIMPLE IRAs, SEP plans, profit sharing plans, employee stock ownership plans, 457 plans, and cash-balance plans)
- Another I RA
  - Traditional                       ROTH                       SEP
  - SIMPLE (I certify that more than two years have passed since my first contribution to my SIMPLE IRA)

A type of Rollover:

- Direct

Previous Custodian Information

Name of Custodian	Previous Custodian Account Number
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- Indirect (I certify that I have received the assets from the distributing plan within the last 60 days)

Please certify (all three items must be checked for us to proceed):

- This rollover does not contain any ineligible distribution or required minimum distribution amounts
- I am the plan participant, spouse, or non-spouse beneficiary of the account, the alternate payee of a qualified domestic relations order
- I have not performed a rollover from an IRA within the last 12 months

Rollover Amounts	
To rollover CASH, please follow the instructions below and allow for 5 business days for checks to clear.	
Amount:	Please make check payable to: <b>Alts Custodian FBO (your name)</b>

Assets Description	Value
<b>Total Value:</b>	

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## ACCOUNT OWNER SIGNATURE

I've received guidance to consult with a tax advisor regarding the significant tax implications associated with transferring assets into a self-directed account. I take complete responsibility for this transfer and absolve the Plan Administrator, Custodian, or Issuer of either plan from any liability for potential negative outcomes. I confirm this contribution of assets as a rollover contribution, which I designate irrevocably.

**Signature:**

**Date:** (MM/DD/YYYY)